

FAQS CGM FUNDS LIQUIDATION NOVEMBER 30, 2022

Why did I receive a check?

The Funds' advisor determined to cease operations and liquidate all assets **as of** November 30, 2022. Please call 800-345-4048 with any questions regarding the check you received.

When will I receive my tax-form?

1099 tax form will mail in January. Tax form 5498 will mail first quarter 2023.

Will I be able to get a duplicate tax form after the funds close?

Yes, by calling Shareholder Services at 800-343-5678.

Will I receive a 2022 yearend statement for my account(s)?

Yes. Yearend statements will produce and mail in January 2023.

How do I obtain statements after the Fund is closed?

You may obtain statements by calling 800-343-5678.

Was my retirement account charged a fiduciary maintenance fee?

Yes. If you did not send a \$15 check, the fee was deducted from your account on October 26, 2022. The fee is required for tax reporting completed by the custodian.

What do I do with my money from my IRA?

You may complete a rollover of your IRA assets within 60 days. Please consult your tax advisor.

How long will CGM remain open after November 30th?

You may reach the CGM Funds through December 30, 2022, at 800-345-4048. After December 30, 2022, you may contact Shareholder Services at 800-343-5678.

If my redemption check gets lost in the mail, will CGM reissue a new one?

Yes. You may call Shareholder Services at 800-343-5678 for a check replacement.

Can I request transcripts after CGM closes?

You may request transcripts by contacting Shareholder Services at 800-343-5678.

Will I be notified by CGM if /when my IRA gets transferred to another company?

If the required paperwork, in good order, was received prior to December 1, 2022, you will receive a confirmation statement in the mail.

I received a check made payable to a deceased shareholder, how can I get a new check made payable to the beneficiary, estate or other entity?

You may call Shareholder Services at 800-343-5678 to determine what documentation will be required to re-issue a check.